

Evolving Open Access Models

Management & Evaluation Strategies

Your Presenters Today



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Open Access (OA) Definitions

Transformative Agreement

Also known as a transitional agreement, moving a subscription to OA publishing

Publish & Read

The publisher receives payment for publishing and reading is included for no additional cost

Subscribe to Open (S2O)

Supporting OA transitions through subscription funding

Read & Publish

The publisher receives payment for both reading and publishing in a single contract

Quick Poll

1. Does your institution or consortium currently invest in or support OA initiatives?
2. Would your institution or consortium like to do more investing in or supporting OA initiatives?
3. Has your institution or consortium tried to support OA initiatives and decided to scale back?

Key Points Today

- UC San Diego highlights: research, publishing, and priorities
- UC California Digital Library (CDL) & Our Consortial Environment
- OA Models: Pros and Cons
- Evaluation Process and Decision Tool
- Managing OA agreements, initiatives and associated workflows
- Key Licensing Terms to Consider

UC San Diego



Fast Facts about UCSD

- Founded in 1960, in 2023 had [42,300 students](#) (and growing)
- UCSD is one of 10 campuses that comprise the University of California system across the state.
- In FY2023, had \$1.76B in sponsored research funding.
- #7 in the world for “most highly cited researchers”
- 7,657 articles published in 2023 (WoS)

UC San Diego Library Strategic Plan 2022-2027

One of our Core Pillars:

1. Democratize Knowledge

“The Library is an advocate and a voice for making the knowledge of the university openly available in support of communities that value research and learning.”

Strategy 1: Center “open” in our collection development and management work

Membership in the California Digital Library (CDL)

CDL has negotiated transformative OA agreements with most large academic publishers

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University of California Publisher OA Agreements and Discounts

UNIVERSITY OF CALIFORNIA [Publisher OA Agreements and Discounts](#)

The University of California has transformative open access publishing agreements and publishing discounts in place with a broad range of scholarly publishers, including several of the world's largest commercial journal publishers, as well as society, not-for-profit, and fully open access publishers. These agreements and discounts are in place to make it easier and more affordable for UC authors to publish open access by reducing the amount that authors are responsible for paying when publishing open.

Please note: it is a good idea to check the link above for the details of each agreement or contact the Scholarly Communications Librarian (scholcomm@ucsd.edu) with questions.

When we refer to “local” agreements, we are talking about publishers not covered by CDL.

Should we Agree to a Local OA Agreement?

Pros

- Benefit to Authors (publish)
- Benefit to the larger community (read)
- Publishers are Moving to OA

Cons

- Potential to cost more than traditional models
- Unsustainability at scale
- Complexity
- Not all publication types are easy to make OA

Evaluation of Open Access Offers

Publisher Open Access Agreement [Decision Tool](#)

1. Does this align with our Library's needs and goals?

- Does this resource make sense for us to even consider?

2. What is the overall new funding expenditure required by the Library?

- Can we afford this? Do we want to?

Publisher Open Access Agreement Decision Tool

3. **What are we getting?**

- Understand the model.

4. **Who/what is covered in the agreement?**

- Looking for equity, the limitations of the deal, and what authors can expect.

5. **What is the duration of the agreement?**

Publisher Open Access Agreement Decision Tool

6. How does signing the agreement compare to not signing?

- What are our actual options here?

7. How is all of this supposed to get administered?

- What is the workload like? The author experience?

8. How are the author's rights protected in the agreement?

- Retention of author's copyright is important.

OK. We're gonna do it! Now what?

Important Considerations

- Track your OA spending, track your OA savings
- Funding strategies
- Request a license as appropriate
 - Important licensing terms to consider
 - Negotiate
- Get the Word Out!
- Management including developing new workflows
- Assessment including using publisher supplied tools

Guiding Documents

The Scholarly Kitchen. *Open access definitions from transformative agreements: A primer*. (2019). Retrieved April 30, 2024 from

<https://scholarlykitchen.sspnet.org/2019/04/23/transformative-agreements/>

Scholarly Publishing and Academic Resources Coalition [SPARC]. (2024). *Open access agreements: Factors to consider*. Retrieved April 1, 2024 from

<https://sparcopen.org/our-work/negotiation-resources/data-analysis/open-access-agreements-factors-to-consider/>

Efficiency and Standards for Article Charges [ESAC]. (2021). *ESAC reference guide to transformative agreements*. Retrieved April 1, 2024 from

<https://esac-initiative.org/about/transformative-agreements/reference-guide/>

Association of Learned and Professional Society Publishers [ALPSP]. (n.d.) *OA agreements: Toolkit to foster open access agreements*. Retrieved April 1, 2024 from

<https://www.alpssp.org/OA-agreements>

Guiding Documents

College & Research Libraries. *Open access workflows for academic libraries*. (2024)
Retrieved May 5, 2024 from <https://crl.acrl.org/index.php/crl/article/view/26323/34252>

University of California Office of Scholarly Communication. (n.d.) *Guidelines for prioritizing transformative open access agreements*. Retrieved May 2, 2024 from <https://osc.universityofcalifornia.edu/uc-publisher-relationships/guidelines-for-evaluating-transformative-open-access-agreements/>

UC San Diego. (n.d.) *UC San Diego - Publisher open access agreement decision tool*.
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Thank You!

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