



# Coordinated and Collaborative: An Ivy Plus Libraries Confederation Collection Development Project

**Sarah Forzetting & Galadriel Chilton**

**The Acquisitions Institute  
at Timberline Lodge**

**21 May 2024**

Ivy Plus Libraries  
Confederation



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## **Galadriel Chilton**

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Director of Collections Initiatives,  
Ivy Plus Libraries Confederation



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Stanford University, Assoc.  
Director of Acquisitions &  
Collections Services

IPLC Collection Development  
Group, Member

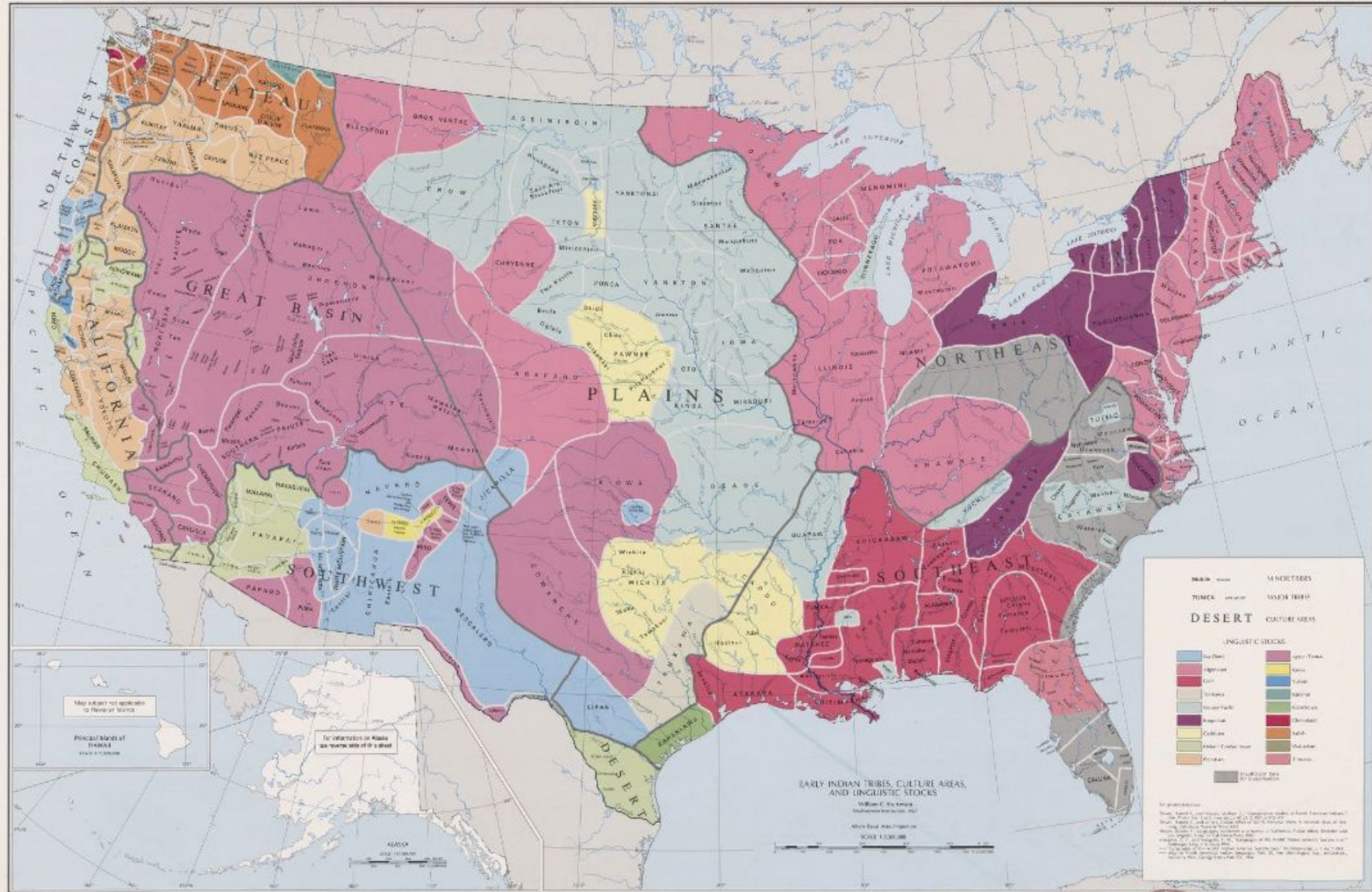
IPLC Standing Committee on  
Collaborative Collection  
Development, Member

**Lean into discomfort.  
Lean into one another.**



**13 Institutions.**  
**3 Time Zones.**  
**~4,674 Colleagues.**





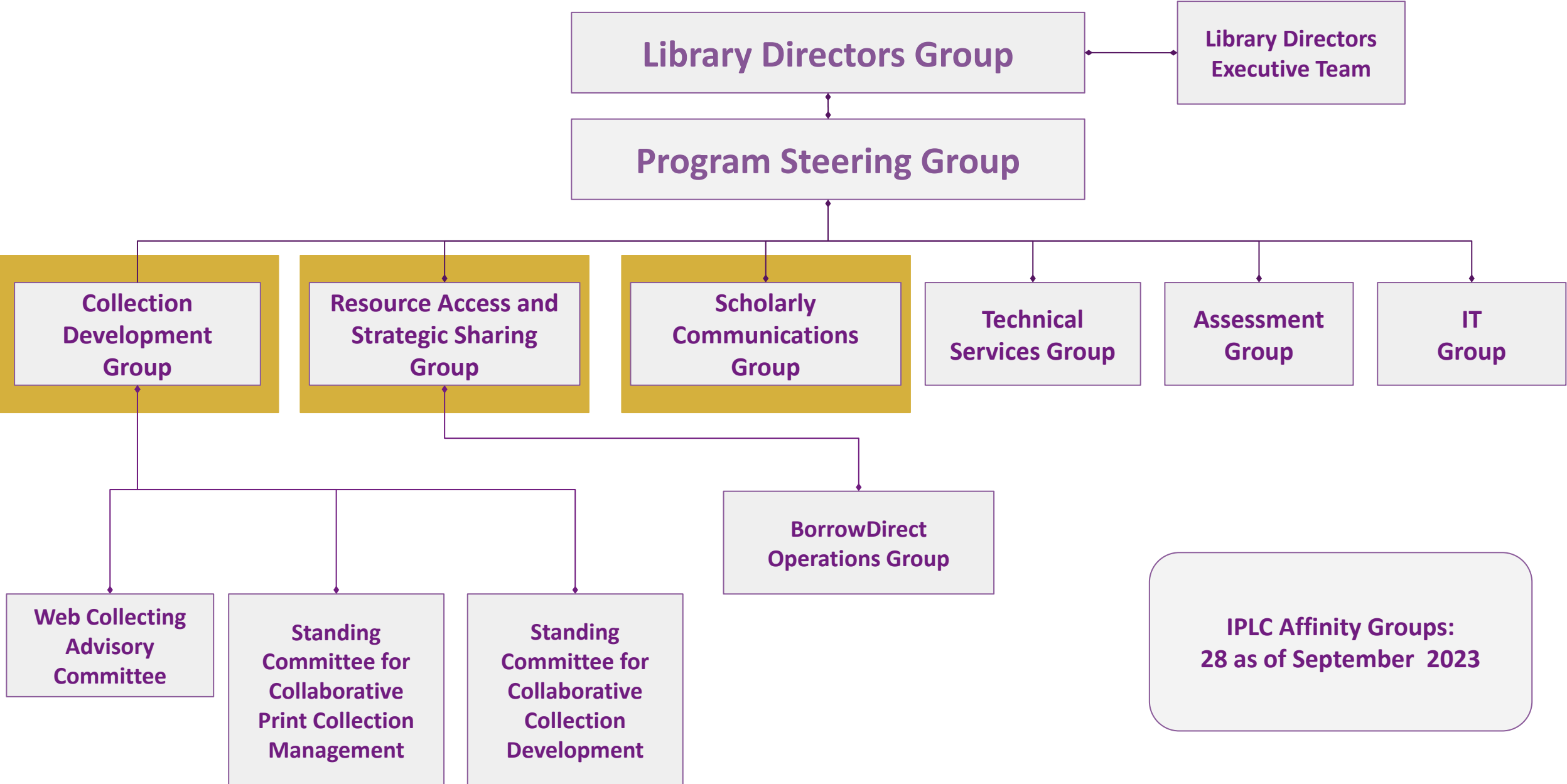
NATIONAL ATLAS OF THE UNITED STATES OF AMERICA  
DEPARTMENT OF THE INTERIOR, U.S. GEOLOGICAL SURVEY  
RESTON, VIRGINIA 22092

NOV 1 9 1991  
GPO

Image Credit: [Library of Congress, Geography and Map Division](https://www.loc.gov/rr/geography/)

<b>Narragansett, Wampanoag, and Pokanoket Nations</b>	Brown
<b>Kickapoo, Peoria, Potawatomi, Miami, and Sioux People</b>	Chicago
<b>Lenni Lenape and Wappinger People</b>	Columbia
<b>Cayuga Nation</b>	Cornell
<b>Wabanaki and Abenaki Nations</b>	Dartmouth
<b>Shakori, Eno and Tuscarora People</b>	Duke
<b>Massachusetts Tribe</b>	Harvard
<b>Piscataway and Susquehannock Peoples</b>	Johns Hopkins
<b>Wampanoag Nation</b>	MIT
<b>The Indigenous territory known as Lenapehoking and the Lenni-Lenape People</b>	Penn
<b>Lenni-Lenape People</b>	Princeton
<b>Muwekma Ohlone Tribe</b>	Stanford
<b>The Mohegan, Mashantucket Pequot, Eastern Pequot, Schaghticoke, Golden Hill Paugussett, Niantic, Quinnipiac, and other Algonquian speaking Peoples.</b>	Yale

# IPLC Organizational Structure



# Collection Development Group: Areas of Focus

## 1. Collaborative Collection Development

- Web Collecting Program
- Standing Committee on Collaborative Collection Development
- *Exploring*: Collaborative with Ivy Plus University Presses on Open Access Books

## 2. Collaborative Print Collection Management

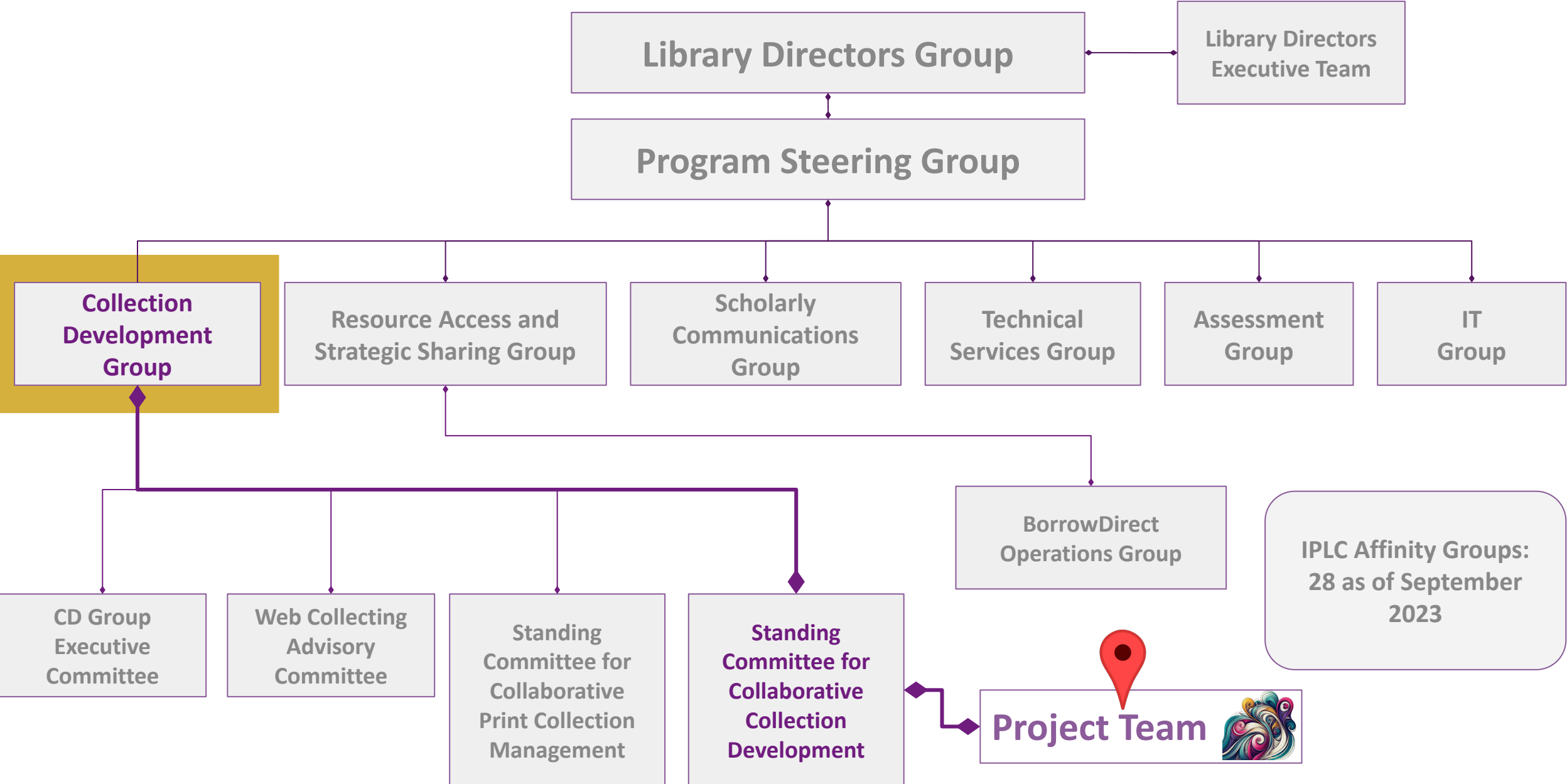
- Standing Committee on Collaborative Print Collection Management

## 3. Advocacy

- Keepers Registry
- Statement of Commitment to arXiv
- Exploring University Press Open Access monographs pilot
- Many supporting OSF Preprint Infrastructure
- Meeting with IPLC ScholComm Group



# IPLC Organizational Structure



# Project Goals and Priorities

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## **Collection Development Group**

Do something.

Prospective collaborative collection development.

## **Standing Committee on Collaborative Collection Development**

Holistic approach to overseeing projects.

Represent all aspects of collection lifecycle.

Seek intentional  
learning, not  
perfection.

# How did we navigate to this point?



## Guided by...

- Past Projects
- Model for “How Many Copies?”
- Definitions for concepts like “Strategic Duplication”

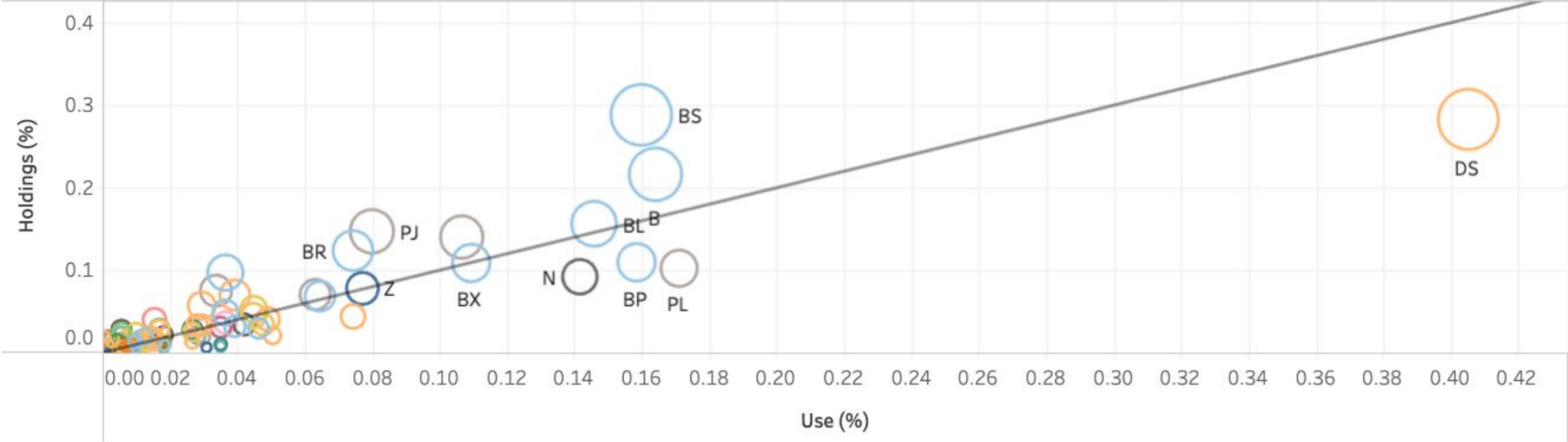
The background features a complex, abstract pattern of overlapping, swirling lines in various colors including yellow, white, light blue, and pink. The lines create a sense of motion and depth, resembling a marbled or liquid-like texture.

**How many copies do we need?**

**Which publishers?**

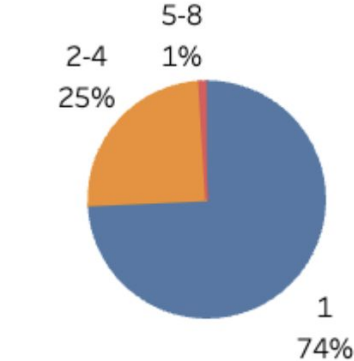
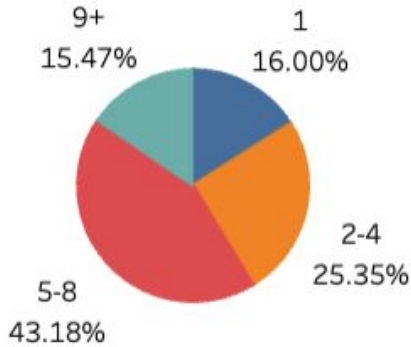


# The “How Many Copies?” Model Using Brill



Data normalization, analysis, and model development by the Data Analysis Team of the Analysis for Collaborative Collection Development Project: **Jeanette Norris** (Yale), **Matt Sullivan** (Harvard), **Huey-Ning Tan** (Stanford), and **Sarah Tudesco** (Yale).

Link to Public Dashboard: <http://bit.ly/IPLC-ERL>

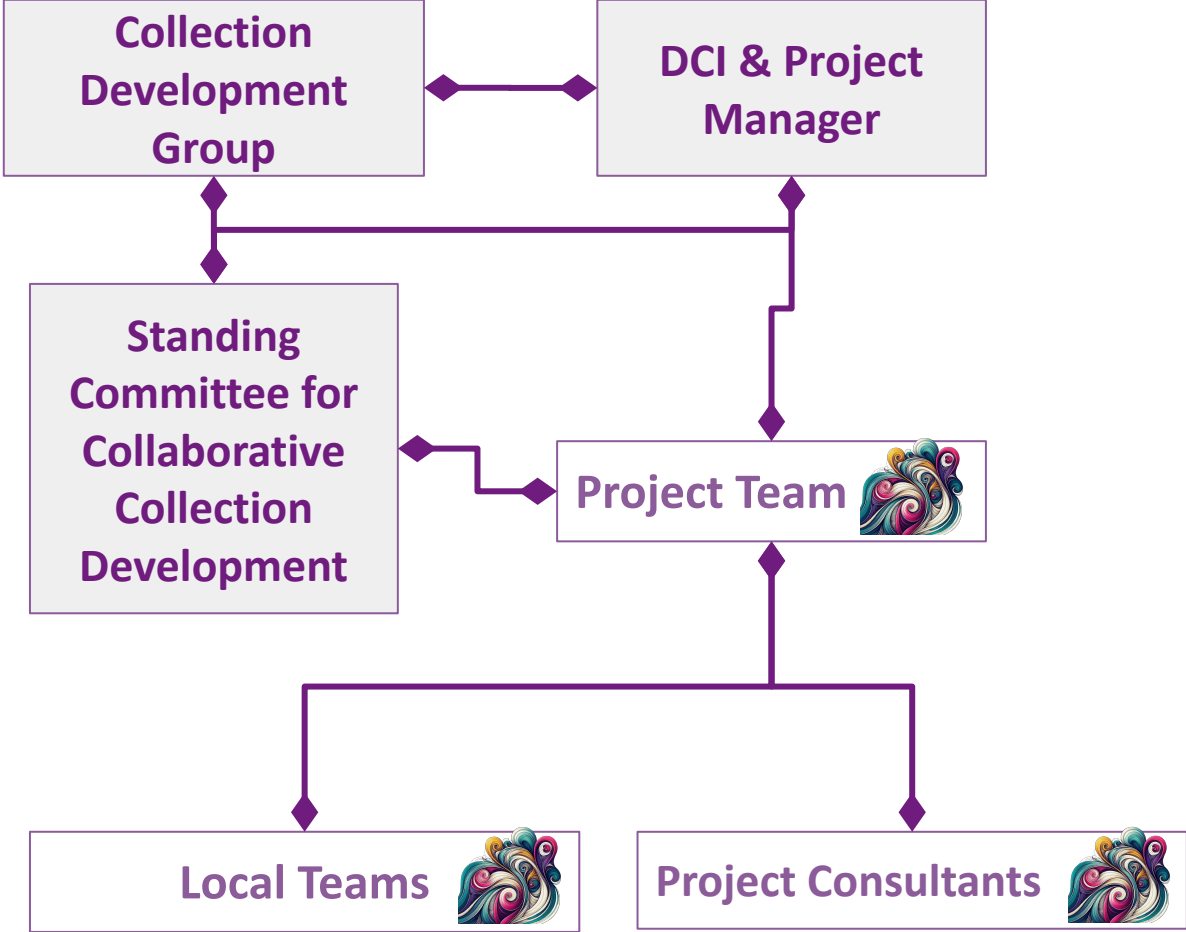


Pub	Use%	Hold%	Diff%
ashgate	2.24%	3.26%	-1.02%
bloomsbury	4.74%	6.53%	-1.79%
brill	3.49%	3.84%	-0.35%
cambridge scholars pub	0.59%	2.11%	-1.52%
cambridge univ press	16.00%	10.38%	5.62%
de gruyter	1.05%	2.51%	-1.46%
harpercollins	2.74%	2.07%	0.68%
lexington books	1.11%	3.56%	-2.45%
oxford univ press	18.77%	13.75%	5.03%
palgrave macmillan	5.52%	12.59%	-7.07%
penguin	4.06%	2.21%	1.85%
peter lang	0.68%	3.24%	-2.56%
princeton univ press	7.91%	2.07%	5.84%

“Why focus on the process when the world is outcome driven? Don’t results matter? Yes, results do matter. But if you optimize for the outcome, you win one time. If you optimize for a process that leads to great outcomes, you can win again and again.”

- James Clear author of  
*Atomic Habits: An Easy & Proven  
Way to Build Good Habits  
& Break Bad Ones*

# Project as a Pop-Up Organization



# Governance & Participants

## Gradient of Agreement Scale for CDG Projects, Initiatives, or Programs

*When it comes to CDG projects, initiatives, and programs at least 10 institutions need to be in categories 1, 2, 3, or 4 before proceeding. The total funds contributed by institutions in categories 1 and 2 must equal whatever the initiative or project requires. The total people contributed by institutions in categories 1 and 3 must equal whatever the initiative or project requires.*

<b>1. Contribute \$ + People</b>	<b>2. Contribute \$</b>	<b>3. Contribute People</b>	<b>4. Support 100%, Unable to Provide Resources</b>	<b>5. More Info / Further Discussion Needed</b>	<b>6. Abstain</b>	<b>7. Object, Do Not Support</b>
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# Project Team

Name	Institution	Expertise
David Bietila	Chicago	Discovery
Matt Sullivan	Harvard	Assessment
Donald Juedes	Johns Hopkins	Collection Development and Monographic Acquisitions
Sarah Marchman	Penn	Monographic Acquisitions
Patty Gaspari-Bridges	Princeton	Collection Development
Zeynep Buyukonal	Stanford	Monographic Acquisitions
Dean Bergstrom	Yale	Monographic Acquisitions and Technical Services/Metadata
Galadriel Chilton	IPLC	Convener and Project Manager



# Project Consultants

Name	Institution	Expertise
<b>Sherry Byrne</b> <b>Kristin Martin</b>	Chicago	Preservation Technical Services
<b>Sarah Hoke</b> <b>Rhea Lesage</b>	Harvard	Collection Development Collection Development
<b>Liz Mengel</b>	Johns Hopkins	Collection Development
<b>Nicholas Okrent</b>	Penn	Collection Development
<b>Heidi Fisher</b> <b>Wayne Bivens-Tatum</b>	Princeton	Acquisitions Religion, Philosophy, Anthropology
<b>Josh Capitanio</b>	Stanford	Collection Development
<b>Mike Printy</b>	Yale	Collection Development, Western European Humanities
<b>James Robinson</b>	IPLC	Resource Sharing & BorrowDirect

# Collaborative Print Collection Program Pilot

## Goal

Establish a pilot collaborative book collecting program by defining and implementing a collaborative GOBI approval plan for Brill English language books including:

1. Workflows for the coordinated approval plan that integrate into existing local workflows,
2. Workflow documentation and recommendations for sustaining and managing the collaborative book collection program at the IPLC and participating institution levels, and
3. Recommended governance structures and operating procedures for sustaining the IPLC Collaborative Book Collection Program.

# Project Components: 10 Parts, 18 Months

1. Project Kickoff
2. Collection Review & Inventory
3. Discovery Investigation & Plan
4. Approval Plan Design
5. Approval Plan Activation & Implementation
6. Monitor & Revise the Approval Plan
7. Midpoint Analysis & Review
8. Documentation & Policies for Sustainability & Expansion
9. Plan for Next Steps or Conclude
10. Conclusion

Then there was the 11th part...

**CAUTION**

**ENTERING THE  
ZONE OF AMBIGUITY**

# Communications

1. Project Charter
2. Project Team Manual
3. Collaborative Collections Workflows & Management Manual
4. Expectations Document: IPLC and GOBI
5. Monthly Updates
6. FAQs



# Midpoint Analysis



# Midpoint Analysis Results: Key Findings

- Significant time between order placement and fulfillment.
- The workflow for the pilot has had minimal impact, but does require more labor than a true approval plan.
- The 583 comes with the bib record from GOBI which requires moving the 583 note to the holding record for OCLC.
- We need to solve the Discovery piece.
- 1 institutions blocked Brill on local approval plans.
- **No one said the liferaft needed deploying.**

**Embrace paradox.**

# Collaborative or Coordinated?

**Collaborative Collection Development:** Centralized collaborative collection development whereby acquiring IPLC institutions all agree on what will be collected and retained and where acquisitions are centralized. *Example:* There is one IPLC GOBI Subaccount where acquisitions and record of acquisitions are centrally located.

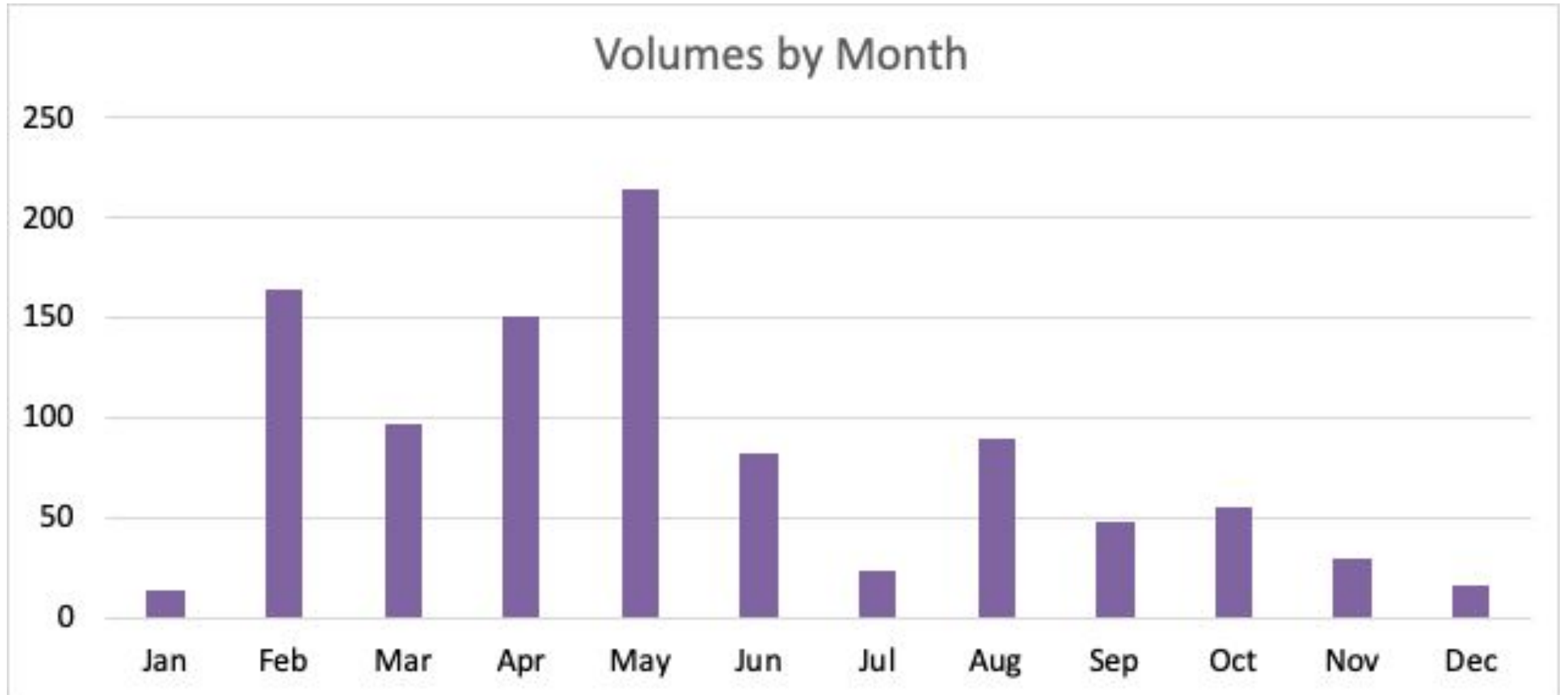
**Coordinated Collection Development:** Decentralized collection development whereby acquiring IPLC institutions all agree on what will be collected and retained; however, acquisitions is decentralized and occurs in individual accounts held by each institution. *Example:* Each acquiring institution acquires materials via their institutional accounts and via the vendor of their choice.

# What Really Happened > Unexpected Discoveries, Lessons Learned

- Hybrid of coordinated and collaborative collections, not fully collaborative (centralized) as hoped, which has pros and cons
- Work on discovery is still needed.
- Technical specifications are unique to each acquiring institution, but some common elements like 583 field.
- The issue log revealed some issues.
- One institution was able to reallocate \$30k.

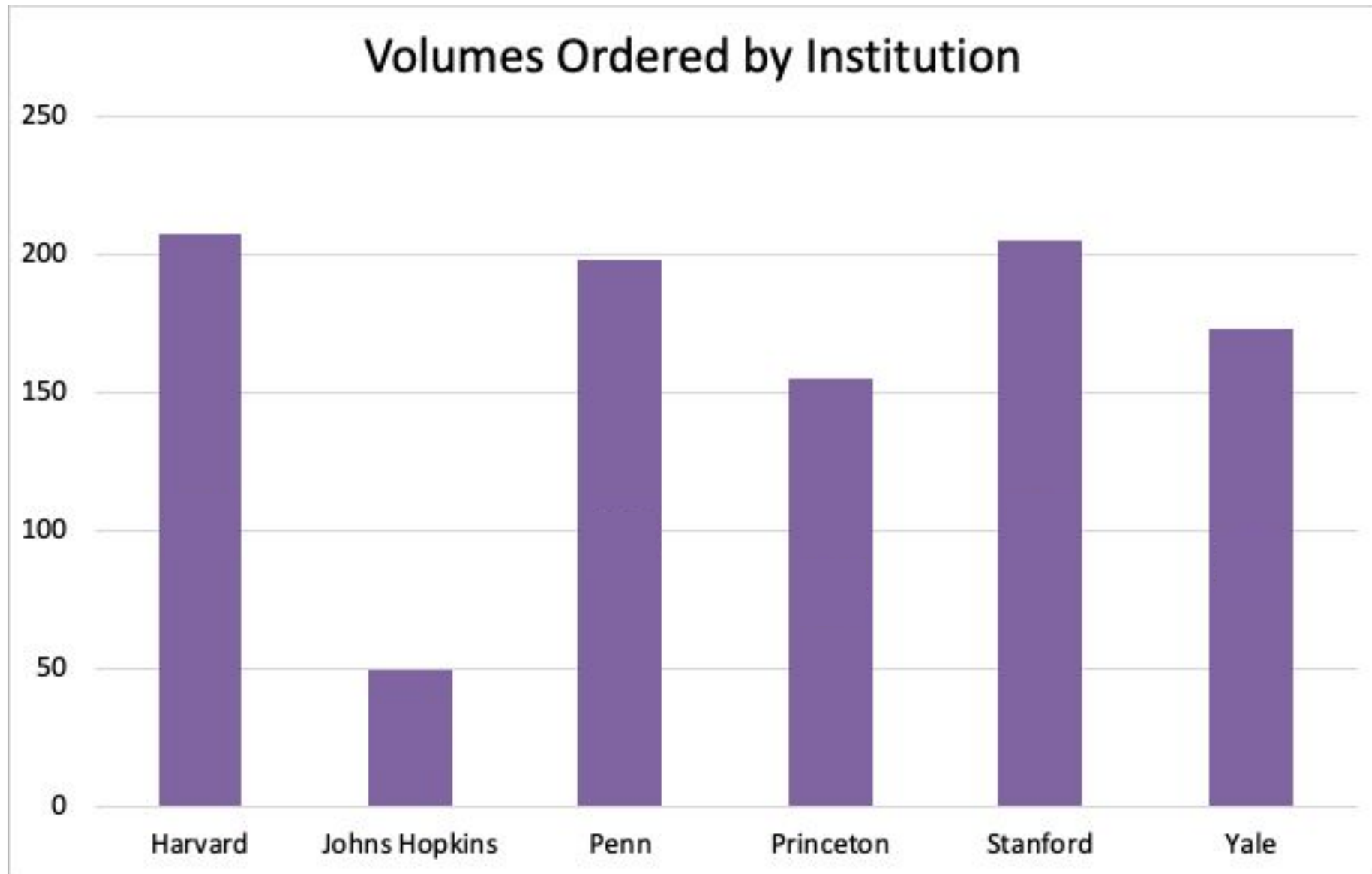


# Outcomes: Volumes by Month





# Outcomes: Volumes by Institution



# Stanford Outcomes

## ORDER COMPARISON BEFORE AND AFTER BRILL PILOT

■ 2019 ■ 2023

STANDING ORDERS

\$24,200.00

\$15,787.00

FIRMS

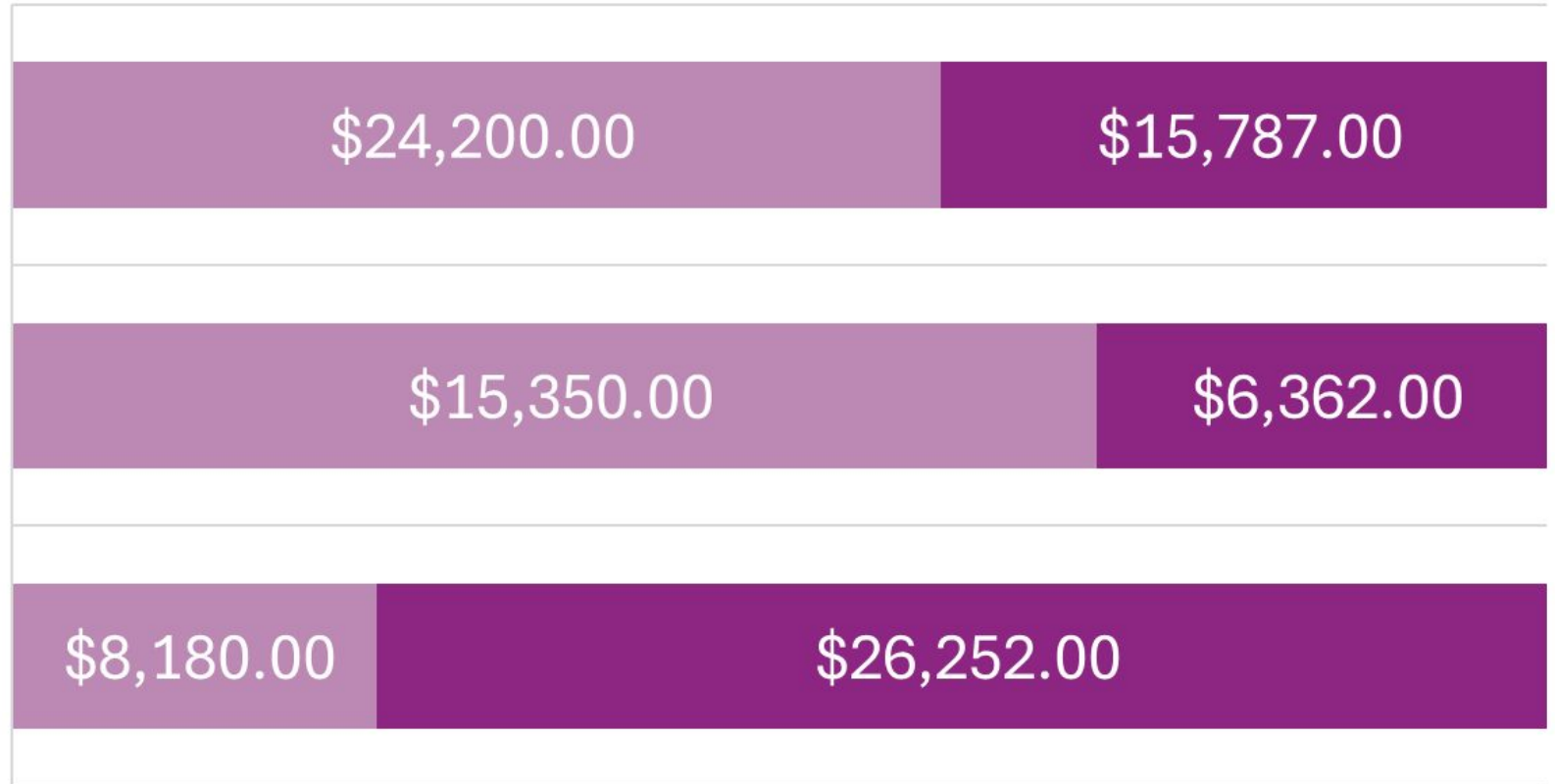
\$15,350.00

\$6,362.00

APPROVALS

\$8,180.00

\$26,252.00



**Commit to non closure.**

# Conclusion

- What's happening now, and into the future.
  - Project team ended
  - Acquisitions contacts

COMMUNICATION

GOVERNANCE

kindness



# Thank you!

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