Coordinated and Collaborative: An Ivy Plus Libraries Confederation Collection Development Project

Sarah Forzetting & Galadriel Chilton

The Acquisitions Institute at Timberline Lodge

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Ivy Plus Libraries Confederation

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Stanford University, Assoc. Director of Acquisitions & Collections Services
IPLC Collection Development Group, Member
IPLC Standing Committee on Collaborative Collection Development, Member
Lean into discomfort. Lean into one another.
13 Institutions.
3 Time Zones.
~4,674 Colleagues.
| **Narragansett, Wampanoag, and Pokanoket Nations** | Brown |
| **Kickapoo, Peoria, Potawatomi, Miami, and Sioux People** | Chicago |
| **Lenni Lenape and Wappinger People** | Columbia |
| **Cayuga Nation** | Cornell |
| **Wabanaki and Abenaki Nations** | Dartmouth |
| **Shakori, Eno and Tuscarora People** | Duke |
| **Massachusetts Tribe** | Harvard |
| **Piscataway and Susquehannock Peoples** | Johns Hopkins |
| **Wampanoag Nation** | MIT |
| **The Indigenous territory known as Lenapehoking and the Lenni-Lenape People** | Penn |
| **Lenni-Lenape People** | Princeton |
| **Muwekma Ohlone Tribe** | Stanford |
| **The Mohegan, Mashantucket Pequot, Eastern Pequot, Schaghticoke, Golden Hill Paugussett, Niantic, Quinnipiac, and other Algonquian speaking Peoples.** | Yale |
IPLC Organizational Structure

Library Directors Group

Program Steering Group

Library Directors Executive Team

Collection Development Group

Resource Access and Strategic Sharing Group

Scholarly Communications Group

Technical Services Group

Assessment Group

IT Group

Web Collecting Advisory Committee

Standing Committee for Collaborative Print Collection Management

Standing Committee for Collaborative Collection Development

BorrowDirect Operations Group

IPLC Affinity Groups: 28 as of September 2023
Collection Development Group: Areas of Focus

1. **Collaborative Collection Development**
   - Web Collecting Program
   - Standing Committee on Collaborative Collection Development
   - *Exploring*: Collaborative with Ivy Plus University Presses on Open Access Books

2. **Collaborative Print Collection Management**
   - Standing Committee on Collaborative Print Collection Management

3. **Advocacy**
   - Keepers Registry
   - Statement of Commitment to arXiv
   - Exploring University Press Open Access monographs pilot
   - Many supporting OSF Preprint Infrastructure
   - Meeting with IPLC ScholComm Group
<table>
<thead>
<tr>
<th>Collection Development Group</th>
<th>Standing Committee on Collaborative Collection Development</th>
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<tbody>
<tr>
<td>Do something.</td>
<td>Holistic approach to overseeing projects.</td>
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<tr>
<td>Prospective collaborative</td>
<td>Represent all aspects of collection lifecycle.</td>
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<tr>
<td>collection development.</td>
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</table>
Seek intentional learning, not perfection.
How did we navigate to this point?

Guided by...

- Past Projects
- Model for “How Many Copies?”
- Definitions for concepts like “Strategic Duplication”
How many copies do we need?

Which publishers?
The “How Many Copies?” Model Using Brill

Data normalization, analysis, and model development by the Data Analysis Team of the Analysis for Collaborative Collection Development Project: Jeanette Norris (Yale), Matt Sullivan (Harvard), Huey-Ning Tan (Stanford), and Sarah Tudesco (Yale).

“Why focus on the process when the world is outcome driven? Don’t results matter? Yes, results do matter. But if you optimize for the outcome, you win one time. If you optimize for a process that leads to great outcomes, you can win again and again.”

- James Clear author of *Atomic Habits: An Easy & Proven Way to Build Good Habits & Break Bad Ones*
Project as a Pop-Up Organization

- Collection Development Group
- DCI & Project Manager
- Standing Committee for Collaborative Collection Development
- Project Team
- Local Teams
- Project Consultants
Governance & Participants

Gradient of Agreement Scale for CDG Projects, Initiatives, or Programs

When it comes to CDG projects, initiatives, and programs at least 10 institutions need to be in categories 1, 2, 3, or 4 before proceeding. The total funds contributed by institutions in categories 1 and 2 must equal whatever the initiative or project requires. The total people contributed by institutions in categories 1 and 3 must equal whatever the initiative or project requires.

<table>
<thead>
<tr>
<th>Name</th>
<th>Institution</th>
<th>Expertise</th>
</tr>
</thead>
<tbody>
<tr>
<td>David Bietila</td>
<td>Chicago</td>
<td>Discovery</td>
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<tr>
<td>Matt Sullivan</td>
<td>Harvard</td>
<td>Assessment</td>
</tr>
<tr>
<td>Donald Juedes</td>
<td>Johns Hopkins</td>
<td>Collection Development and Monographic Acquisitions</td>
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<tr>
<td>Sarah Marchman</td>
<td>Penn</td>
<td>Monographic Acquisitions</td>
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<td>Patty Gaspari-Bridges</td>
<td>Princeton</td>
<td>Collection Development</td>
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<tr>
<td>Zeynep Buyukonal</td>
<td>Stanford</td>
<td>Monographic Acquisitions</td>
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<tr>
<td>Dean Bergstrom</td>
<td>Yale</td>
<td>Monographic Acquisitions and Technical Services/Metadata</td>
</tr>
<tr>
<td>Galadriel Chilton</td>
<td>IPLC</td>
<td>Convener and Project Manager</td>
</tr>
<tr>
<td>Name</td>
<td>Institution</td>
<td>Expertise</td>
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<tr>
<td>Sherry Byrne</td>
<td>Chicago</td>
<td>Preservation</td>
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<td>Kristin Martin</td>
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<tr>
<td>Sarah Hoke</td>
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<td>Collection Development</td>
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<tr>
<td>Rhea Lesage</td>
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<td>Liz Mengel</td>
<td>Johns Hopkins</td>
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<tr>
<td>Nicholas Okrent</td>
<td>Penn</td>
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<tr>
<td>Heidi Fisher</td>
<td>Princeton</td>
<td>Acquisitions</td>
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<tr>
<td>Wayne Bivens-Tatum</td>
<td></td>
<td>Religion, Philosophy, Anthropology</td>
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<tr>
<td>Josh Capitanio</td>
<td>Stanford</td>
<td>Collection Development</td>
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<tr>
<td>Mike Printy</td>
<td>Yale</td>
<td>Collection Development, Western European Humanities</td>
</tr>
<tr>
<td>James Robinson</td>
<td>IPLC</td>
<td>Resource Sharing &amp; BorrowDirect</td>
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Collaborative Print Collection Program Pilot

Goal
Establish a pilot collaborative book collecting program by defining and implementing a collaborative GOBI approval plan for Brill English language books including:

1. Workflows for the coordinated approval plan that integrate into existing local workflows,

2. Workflow documentation and recommendations for sustaining and managing the collaborative book collection program at the IPLC and participating institution levels, and

3. Recommended governance structures and operating procedures for sustaining the IPLC Collaborative Book Collection Program.
Project Components: 10 Parts, 18 Months

1. Project Kickoff
2. Collection Review & Inventory
3. Discovery Investigation & Plan
4. Approval Plan Design
5. Approval Plan Activation & Implementation
6. Monitor & Revise the Approval Plan
7. Midpoint Analysis & Review
8. Documentation & Policies for Sustainability & Expansion
9. Plan for Next Steps or Conclude
10. Conclusion

Then there was the 11th part...
CAUTION

ENTERING THE ZONE OF AMBIGUITY
Communications

1. Project Charter
2. Project Team Manual
4. Expectations Document: IPLC and GOBI
5. Monthly Updates
6. FAQs
Midpoint Analysis
Midpoint Analysis Results: Key Findings

- Significant time between order placement and fulfillment.
- The workflow for the pilot has had minimal impact, but does require more labor than a true approval plan.
- The 583 comes with the bib record from GOBI which requires moving the 583 note to the holding record for OCLC.
- We need to solve the Discovery piece.
- 1 institutions blocked Brill on local approval plans.
- No one said the liferaft needed deploying.
Embrace paradox.
Collaborative Collection Development: Centralized collaborative collection development whereby acquiring IPLC institutions all agree on what will be collected and retained and where acquisitions are centralized. *Example:* There is one IPLC GOBI Subaccount where acquisitions and record of acquisitions are centrally located.

Coordinated Collection Development: Decentralized collection development whereby acquiring IPLC institutions all agree on what will be collected and retained; however, acquisitions is decentralized and occurs in individual accounts held by each institution. *Example:* Each acquiring institution acquires materials via their institutional accounts and via the vendor of their choice.
What Really Happened > Unexpected Discoveries, Lessons Learned

- Hybrid of coordinated and collaborative collections, not fully collaborative (centralized) as hoped, which has pros and cons
- Work on discovery is still needed.
- Technical specifications are unique to each acquiring institution, but some common elements like 583 field.
- The issue log revealed some issues.
- One institution was able to reallocate $30k.
Outcomes: Volumes by Month

Volumes by Month

Jan  | Feb  | Mar  | Apr  | May  | Jun  | Jul  | Aug  | Sep  | Oct  | Nov  | Dec  
---  | ---  | ---  | ---  | ---  | ---  | ---  | ---  | ---  | ---  | ---  | ---  
0    | 150  | 100  | 80   | 250  | 50   | 30   | 70   | 40   | 10   | 20   | 5   

Legend: Volumes in units
Outcomes: Volumes by Institution

Volumes Ordered by Institution

- Harvard
- Johns Hopkins
- Penn
- Princeton
- Stanford
- Yale
## Stanford Outcomes

### ORDER COMPARISION BEFORE AND AFTER BRILL PILOT

<table>
<thead>
<tr>
<th>Category</th>
<th>2019</th>
<th>2023</th>
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<tbody>
<tr>
<td>STANDING ORDERS</td>
<td>$24,200.00</td>
<td>$15,787.00</td>
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<tr>
<td>FIRMS</td>
<td>$15,350.00</td>
<td>$6,362.00</td>
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<tr>
<td>APPROVALS</td>
<td>$8,180.00</td>
<td>$26,252.00</td>
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Commit to non closure.
Conclusion

- What’s happening now, and into the future.
  - Project team ended
  - Acquisitions contacts
Thank you!

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